Q ACADEMY

CERTIFICATE

This is to certify that

ANDRE FELIPPE AMARAL DA ROCHA

Registration0005190, has successfully completed the following training conducted by Q Academy

Lead Auditor ISO 37301:2021
Compliance Management Systems

Training Date: 16/08/2023 - 14/09/2023

Hourly schedule: 42 hours

ABED

Neifer França
Director - Q Academy

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Sao Paulo, SP - 04304010, BR

Exemplar Global
RTP Certified Training

Student: ANDRE FELIPPE AMARAL DA ROCHA **Registration:** 0005190

Syllabus / Hourly schedule

ABNT NBR ISO/IEC 17021:2016 - Conformity assessment	8 hours
ISO 19011:2018 - Guidelines for auditing management systems	8 hours
Types of compliance	5 hous
Introduction to management systems and ISO 37301:2021	4 hours
Auditing ISO 37301:2021 - Compliance Management Systems	15 hours
Final exam	2 hours

ISO 19011:2018 - Guidelines for auditing management systems

Introduction to audit principles
Management of audit program
Ascertainment and interview techniques
Competence and auditors' evaluation

ABNT NBR ISO/IEC 17021:2016 - Conformity assessment - Requirements for bodies providing audit and certification of management systems

Certification x accreditation
Competence of auditors
Determination of objectives, scope and audit criteria
Conducting audits and communication during audit
Obtaining and verifying information
Identification and records of audit findings
Preparation of audit conclusions
Closing meeting and conclusions
Audit report and certification decision

Types of Compliance

Anti-corruption and integrity compliance
Financial compliance
Public compliance
ESG Compliance
Digital compliance

Introduction to management systems and ISO 37301:2021

Concepts of ISO 37301:2021
Compliance management systems and ISO 37301
ISO 37301 x ISO 37001
Structure of ISO 37301:2021 of requirements
Terms and definitions
ISO 17021-13 Competence os the compliance auditor

Auditing ISO 37301:2021 - Compliance Management Systems

Auditing context of the organization and compliance management systems
Auditing leadership and compliance policy
Auditing management and planning of compliance management systems
Auditing support and resources of compliance management systems
Auditing operation of compliance management systems
Auditing performance evaluation of compliance management systems
Auditing improvement of compliance management systems

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FINAL EXAM: 9.00